



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

RECEIVED  
JAN-4 2000  
TC 2700 MAIL ROOM

In re Application of: Jay S. WALKER et al. )  
)  
For: DETERMINATION AND )  
PRESENTATION OF PACKAGE )  
PRICING OFFERS IN RESPONSE )  
TO CUSTOMER INTEREST IN A )  
PRODUCT )  
) Examiner: Not Assigned  
)  
Serial No.: 09/360,422 ) Group Art Unit: 2764  
)  
Filing Date: July 23, 1999 ) Docket No.: WD2-99-004

Assistant Commissioner for Patents  
Office of Initial Examination  
Customer Service Center  
Washington, D.C. 20231

TRANSMITTAL LETTER

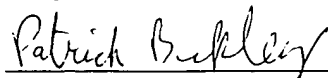
Applicants hereby submit the following documents for the above-identified patent application:

1. Copy of Application Transmittal Letter;
2. Request for Corrected Filing Receipt;
3. Red-lined Copy of Official Filing Receipt; and
4. Postcard.

The Assistant Commissioner is hereby authorized to charge the associated filing fee in the amount of \$0.00 to **Deposit Account No. 50-0271**. Order No. WD2-99-004. A duplicate copy of this sheet is attached. The Assistant Commissioner is hereby authorized to charge any additional fees which may be required for the submission of this paper, or credit any overpayment, to **Deposit Account No. 50-0271**. Order No. WD2-99-004. A duplicate copy of this sheet is attached.

August 24, 1999  
Date

Respectfully submitted,

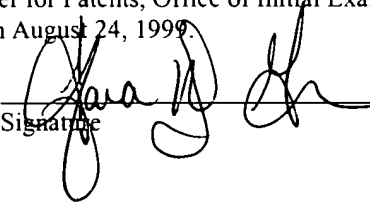


PATRICK J. BUCKLEY  
Intellectual Property Department  
Attorney for Applicants  
PTO Reg. No. 40,928  
Walker Digital Corporation  
One High Ridge Park  
Stamford, CT 06905

### Certificate of Mailing

I hereby certify that this correspondence is being sent via first class mail in an envelope with sufficient postage addressed to Assistant Commissioner for Patents, Office of Initial Examination, Customer Service Center, Washington, D.C. 20231 on August 24, 1999.

Tara D. Gee  
Typed Name of Person Making  
Deposit

  
Signature

08/24/99  
Date

AUG 27 1999  
PATENT & TRADEMARK OFFICE**UTILITY PATENT APPLICATION TRANSMITTAL**  
**(Small Entity)***(Only for new nonprovisional applications under 37 CFR 1.53(b))*Docket No.  
**WD2-99-004**Total Pages in this Submission  
**103****TO THE ASSISTANT COMMISSIONER FOR PATENTS****Box Patent Application**  
**Washington, D.C. 20231**

Transmitted herewith for filing under 35 U.S.C. 111(a) and 37 C.F.R. 1.53(b) is a new utility patent application for an invention entitled:

**DETERMINATION AND PRESENTATION OF PACKAGE PRICING OFFERS IN RESPONSE TO CUSTOMER INTEREST IN A PRODUCT**

and invented by:

**Jay S. WALKER; Magdalena MIK; John B. DICKERSON**If a **CONTINUATION APPLICATION**, check appropriate box and supply the requisite information:☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.: \_\_\_\_\_

Which is a:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.: \_\_\_\_\_

Which is a:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.: \_\_\_\_\_

Enclosed are:

**Application Elements**

1. ☒ Filing fee as calculated and transmitted as described below
2. ☒ Specification having \_\_\_\_\_ pages and including the following:
  - a. ☒ Descriptive Title of the Invention
  - b. ☒ Cross References to Related Applications *(if applicable)*
  - c. ☐ Statement Regarding Federally-sponsored Research/Development *(if applicable)*
  - d. ☐ Reference to Microfiche Appendix *(if applicable)*
  - e. ☒ Background of the Invention
  - f. ☒ Brief Summary of the Invention
  - g. ☒ Brief Description of the Drawings *(if drawings filed)*
  - h. ☒ Detailed Description
  - i. ☒ Claim(s) as Classified Below
  - j. ☒ Abstract of the Disclosure

**UTILITY PATENT APPLICATION TRANSMITTAL**  
**(Small Entity)**

*(Only for new nonprovisional applications under 37 CFR 1.53(b))*

Docket No.  
**WD2-99-004**

Total Pages in this Submission  
**103**

**Application Elements (Continued)**

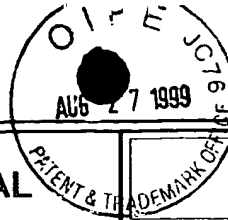
3. ☒ Drawing(s) *(when necessary as prescribed by 35 USC 113)*  
a. ☒ Formal      b. ☐ Informal      Number of Sheets 21
4. ☒ Oath or Declaration  
a. ☒ Newly executed *(original or copy)*      ☐ Unexecuted  
b. ☐ Copy from a prior application (37 CFR 1.63(d)) *(for continuation/divisional application only)*  
c. ☒ With Power of Attorney      ☐ Without Power of Attorney  
d. ☐ DELETION OF INVENTOR(S)  
Signed statement attached deleting inventor(s) named in the prior application,  
see 37 C.F.R. 1.63(d)(2) and 1.33(b).
5. ☐ Incorporation By Reference *(usable if Box 4b is checked)*  
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
6. ☐ Computer Program in Microfiche
7. ☐ Genetic Sequence Submission *(if applicable, all must be included)*  
a. ☐ Paper Copy  
b. ☐ Computer Readable Copy  
c. ☐ Statement Verifying Identical Paper and Computer Readable Copy

**Accompanying Application Parts**

8. ☒ Assignment Papers *(cover sheet & documents)*
9. ☐ 37 CFR 3.73(b) Statement *(when there is an assignee)*
10. ☐ English Translation Document *(if applicable)*
11. ☐ Information Disclosure Statement/PTO-1449      ☐ Copies of IDS Citations
12. ☐ Preliminary Amendment
13. ☒ Acknowledgment postcard
14. ☒ Certificate of Mailing  
☐ First Class      ☒ Express Mail *(Specify Label No.):* EL317732895US

**UTILITY PATENT APPLICATION TRANSMITTAL**  
**(Small Entity)**

(Only for new nonprovisional applications under 37 CFR 1.53(b))



Docket No.  
WD2-99-004

Total Pages in this Submission  
103

**Accompanying Application Parts (Continued)**

15. ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed)
16. ☒ Small Entity Statement(s) - Specify Number of Statements Submitted: 1
17. ☐ Additional Enclosures (please identify below):

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JAN - 4 2000  
TC 2700 MAIL ROOM

**Fee Calculation and Transmittal**

**CLAIMS AS FILED**

For	#Filed	#Allowed	#Extra	Rate	Fee
Total Claims	118	- 20 =	98	x \$9.00	\$882.00
Indep. Claims	5	- 3 =	2	x \$39.00	\$78.00
Multipl Dependent Claims (check if applicable) <input type="checkbox"/>					\$0.00
BASIC FEE					\$380.00
OTHER FEE (specify purpose)					\$0.00
TOTAL FILING FEE					\$1,340.00

- ☐ A check in the amount of \_\_\_\_\_ to cover the filing fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge and credit Deposit Account No. 50-0271 as described below. A duplicate copy of this sheet is enclosed.
- ☒ Charge the amount of \$1,340.00 as filing fee.
- ☒ Credit any overpayment.
- ☒ Charge any additional filing fees required under 37 C.F.R. 1.16 and 1.17.
- ☐ Charge the issue fee set in 37 C.F.R. 1.18 at the mailing of the Notice of Allowance, pursuant to 37 C.F.R. 1.311(b).

Dated: July 23, 1999

Patrick Buckley  
Signature

Patrick J. Buckley  
Attorney for Applicants  
PTO Reg. No. 40,928  
Walker Digital Corporation  
One High Ridge Park  
Stamford, CT 06905-1326  
Telephone: (203) 905-65640  
Facsimile: (203) 595-8266

cc:

**FILING RECEIPT**

**UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
ASSISTANT SECRETARY AND COMMISSIONER  
OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231**

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTORNEY DOCKET NO.	DRWGS	TOT CL	IND CL
09/360,422	07/23/99	2764	\$1,340.00	WD2-99-004	21	118	5

PATRICK J BUCKLEY  
WALKER DIGITAL CORPORATION  
ONE HIGH RIDGE PARK  
STAMFORD CT 06905-1326

RECEIVED  
AUG 19 1999  
WALKER DIGIT

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted hereon. If you received a "Notice to File Missing Parts of Application" ("Missing Parts Notice") in this application, please submit any corrections to this Filing Receipt with your reply to the "Missing Parts Notice." When the PTO processes the reply to the "Missing Parts Notice," the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

**Applicant(s)** JAY S. WALKER, RIDGEFIELD, CT; MAGDALENA MIK,  
GREENWICH, CT; JOHN B. DICERSON, NEW CANAAN, CT.

IF REQUIRED, FOREIGN FILING LICENSE GRANTED 08/13/99 \*\* SMALL ENTITY \*\*  
 TITLE  
 DETERMINATION AND PRESENTATION OF PACKAGE PRICING OFFERS IN RESPONSE  
 TO CUSTOMER INTEREST IN A PRODUCT

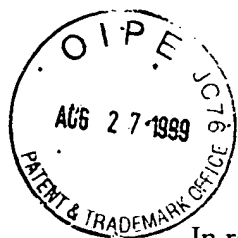
PRELIMINARY CLASS: 705

File No: 99-004  
Attorney: PB  
Due Date: 8/29/99  
Docketed: 8/19/99

DATA ENTRY BY: MARTIN, DIANE

TEAM: 04 DATE: 08/13/99





RECEIPT  
FILE COPY #3  
RECEIVED  
JAN -4 2000  
TO 2100 MAIL ROOM

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of: Jay S. WALKER, et al. )

For: DETERMINATION AND )  
PRESENTATION OF PACKAGE )  
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CUSTOMER INTEREST IN A PRODUCT )

) Examiner: Not Assigned

Serial No.: 09/360,422

) Group Art Unit: 2764

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) Docket No.: WD2-99-004

Assistant Commissioner for Patents  
Office of Initial Examination  
Customer Service Center  
Washington, D.C. 20231

**REQUEST FOR CORRECTED FILING RECEIPT**

Applicants respectfully request that the filing receipt for the above-identified patent application be corrected as follows:

- 1) Please correct the first applicant's name by changing "JAYU" to "JAY"
- 2) Please correct the last applicant's name by changing "DICJERSON" to "DICKERSON"
- 3) In the title change "IN PRODUCT" to "IN A PRODUCT"

The requested correction has been indicated in red on an enclosed copy of the official Filing Receipt.

In support of this request, applicants have enclosed a copy of the patent application transmittal letter filed with the original application on July 23, 1999, which clearly indicates the correct data requested above. Please issue a corrected Filing Receipt in due course.

August 24, 1999

Date

Respectfully submitted,

*Patrick J. Buckley*

Patrick J. Buckley  
Attorney for Applicants  
PTO Reg. No. 40,928  
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